### **Efficient Coding**

Odelia Schwartz 2017

## Levels of modeling

Descriptive (what)

Mechanistic (how)

Interpretive (why)

### Levels of modeling

 Fitting a receptive field model to experimental data (e.g., using spiketriggered stimuli; you've seen)

### Versus

 Deriving receptive field model based on theoretical principles (e.g., statistical structure of scenes)

## **Complementary question**



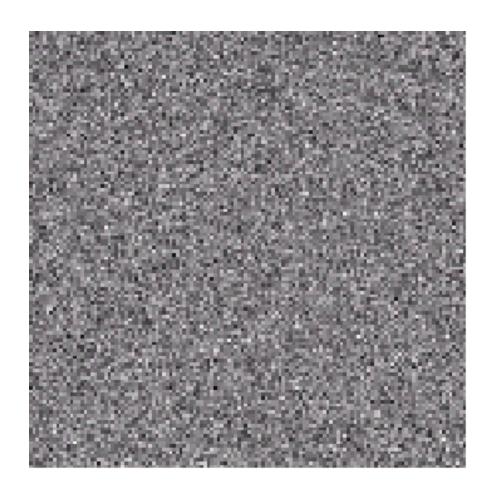
Can we derive or constrain a neural model by understanding statistical regularities in scenes?

## **Complementary question**



Appealing hypothesis: brain evolved to capture probabilistic aspects of the natural environment

# Unlike...

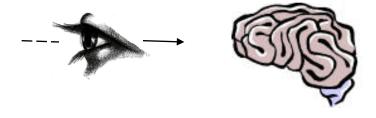


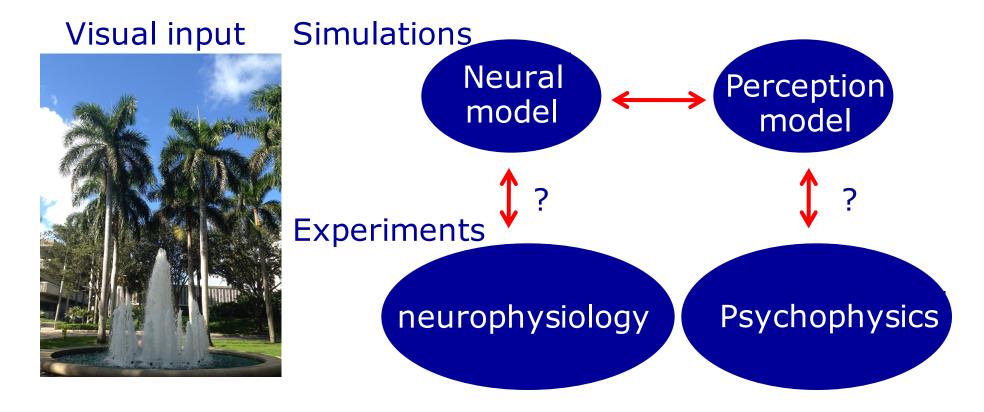


Also quite different from traditional experimental stimulus in vision experiments...

# Visual representation

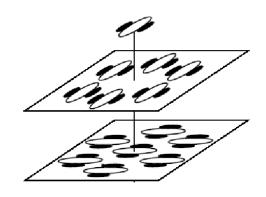






Goals: Principled and predictive understanding

### **Building model from scene stats**



- Cortical computations as interactions of RFs across space, orientation, etc.
- RFs and interaction constrained by scene statistics? Can we derive them?

### **Theory**

• **Locke:** The mind is a "tabula rasa" and only filled with knowledge after sense experience

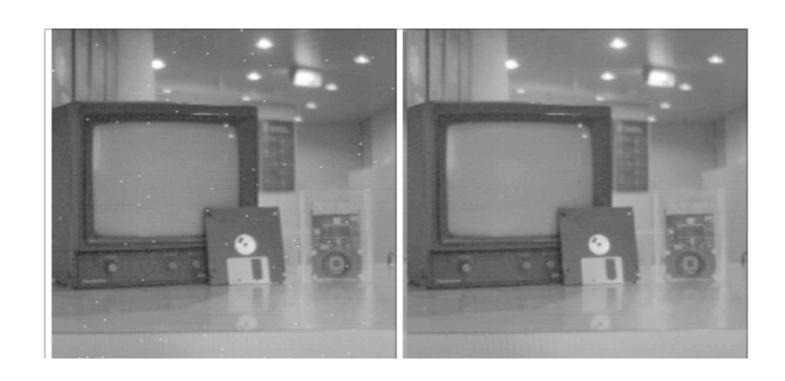


 Helmholtz: perception as inference of the properties of sensory stimuli



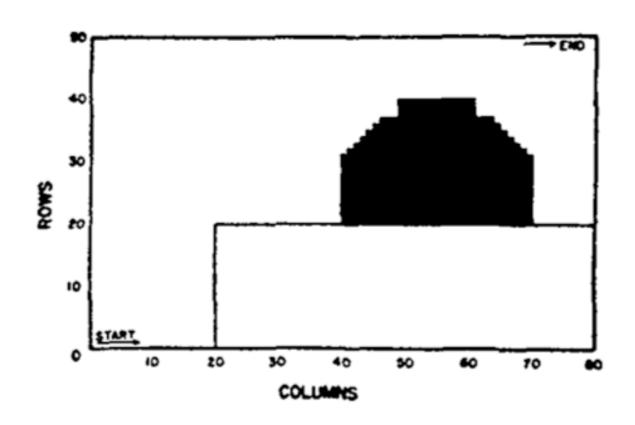
• Attneave, Barlow: Hypothesized in the 1950s that sensory processing matched to statistics of environment (reduce redundancy; increase independence)



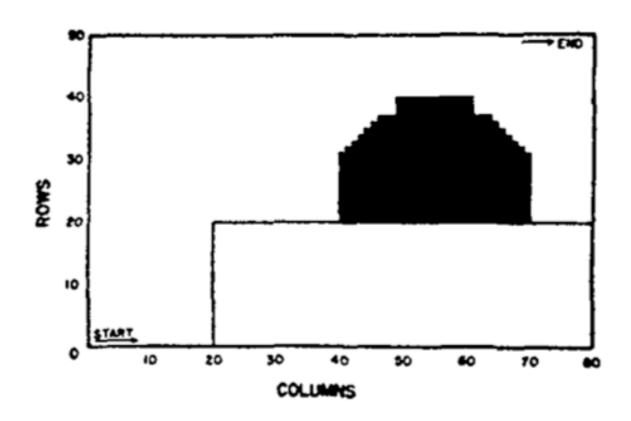


Kersten, 1992 (psychophysics);

Dierickx and Meynants, 1987 (computer)



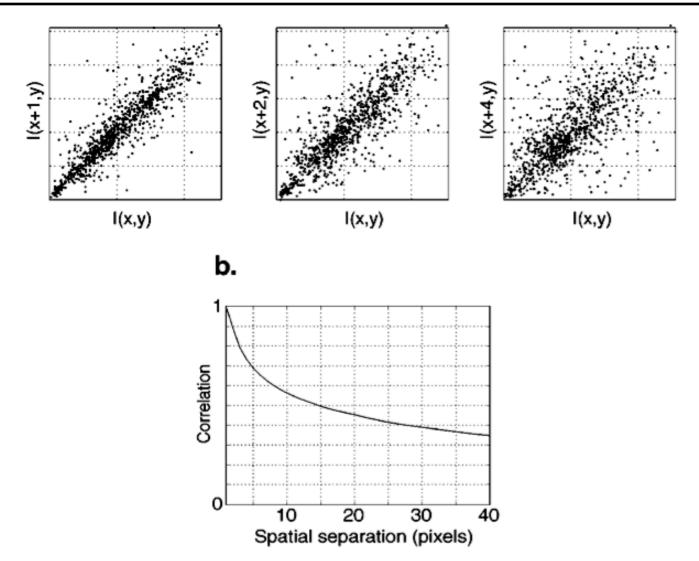
Attneave 1951; "guessing game"



Attneave 1951; "ink bottle on the corner of the desk"



### Statistics of images show dependencies



Simoncelli and Olshausen review, 2001

### Scene statistics approaches

Two main approaches for studying scene statistics

- 1. Bottom-up
- 2. Top-down, generative

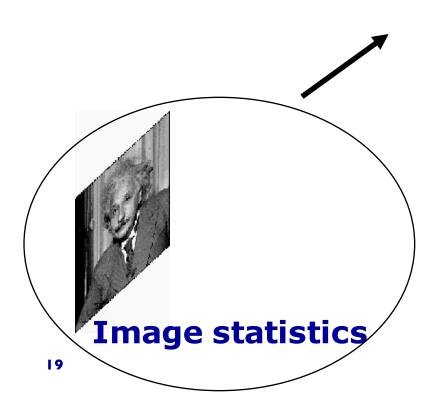
### Scene statistics approaches

Two main approaches for studying scene statistics

- 1. Bottom-up (This class!)
- 2. Top-down, generative

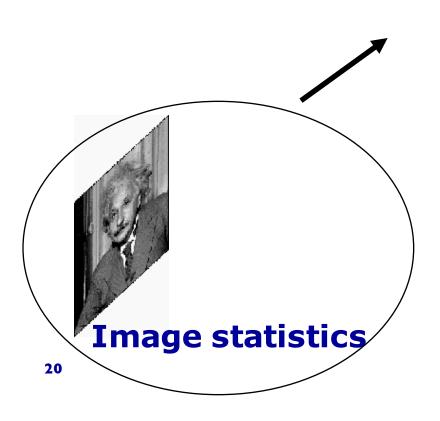
### **Bottom-up approach**

Choose and manipulate projections, to optimize probabilistic and information-theoretic metrics



### **Bottom-up approach**

We'll take a small detour and talk about Information Theory...



### Redundancy:

- Marginal distribution (eg., in English "a" more often than "q")
- Joint distribution (eg, "sh" more often than "sd")
- Analogous to images marginal and joint...
   (later)

### Redundancy and relation to coding in bits:

#### BABABABADABACAABAACABDAAAAABAAAAAAAAADBCA

Hyvarinen et al. book, 2009

### Entropy:

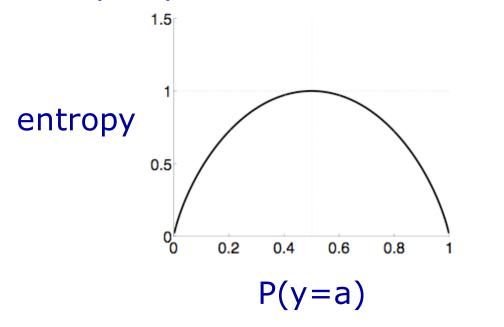
$$H(y) = -\sum_{y} p(y) \log_2 p(y)$$

- Measure of uncertainty or how interesting
- Always positive and equal to zero iff outcome is certain
- If there are 2 possible outcomes with probability p and 1-p, when is the entropy maximal?

### Entropy:

$$H(y) = -\sum_{y} p(y) \log_2 p(y)$$

Example: possible outcomes: a, b



### **Conditional Entropy:**

$$H(y \mid x) = -\sum_{x} p(x) \sum_{y} p(y \mid x) \log_2 p(y \mid x)$$

- How much entropy left in y when we know x, averaged over all x
- What happens when x and y are independent?
   Dependent? Equal?

### **Conditional Entropy:**

$$H(y \mid x) = -\sum_{x} p(x) \sum_{y} p(y \mid x) \log_2 p(y \mid x)$$

- How much entropy left in y when we know x, averaged over all x
- What happens when x and y are independent?
   Dependent?

Independent: H(y|x) = H(y)

Dependent: H(y|x) < H(y)

Equal:  $H(y \mid x) = 0$ 

Mutual information:

$$I(x,y) = H(y) - H(y \mid x)$$

- What is the mutual information if x and y are independent?

### Mutual information:

$$I(x,y) = h(y) - h(y \mid x) = \dots$$
$$\sum_{x,y} p(x,y) \log_2 \left( \frac{p(x,y)}{p(x)p(y)} \right)$$

- What is the mutual information if x and y are independent?
- Also Kullback-Leibler between...

Marginal and joint entropy:

$$H(y_1, y_2, ...y_n) \le H(y_1) + H(y_2) + ... + H(y_n)$$

Equality iff independent:  $p(y_1, y_2, ... y_n) = p(y_1)p(y_2)...p(y_n)$ 

Maximal entropy when:

- Outputs through a single channel as random as possible (but subject to constraints on channel)
- Independent. In general, hard to achieve. Restrict to, eg, linear.

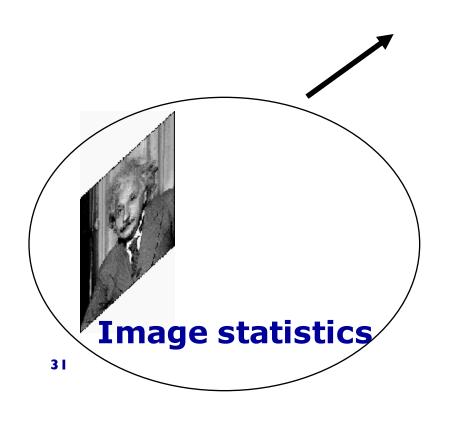
Redundancy; we can optimize...

- Marginal distribution (eg., in English "a" more often than "q")
- Joint distribution (eg, "sh" more often than "sd")

What about images...?

### **Bottom-up approach**

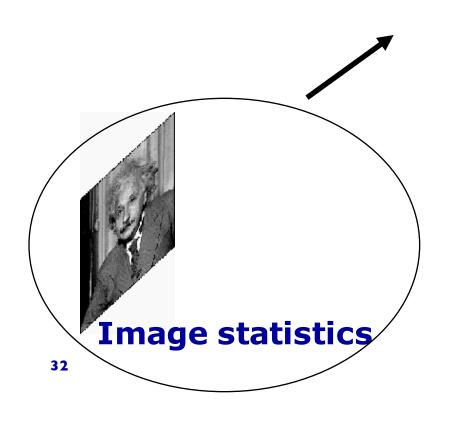
Choose and manipulate projections, to optimize probabilistic and information-theoretic metrics



We'll go through past examples in the field, building up to more recent approaches...

### **Bottom-up approach**

Choose and manipulate projections, to optimize probabilistic and information-theoretic metrics



Optimizing marginal statistics

### Efficient coding: single neuron fly vision

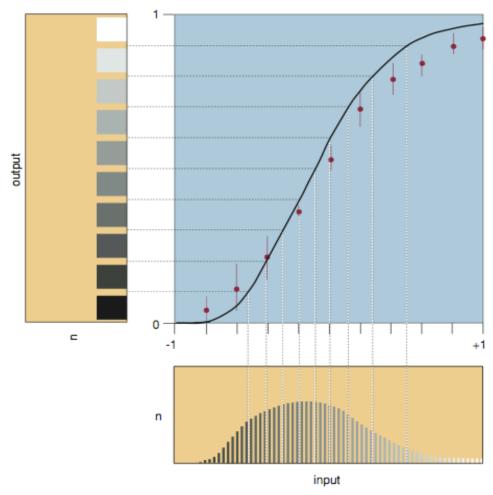
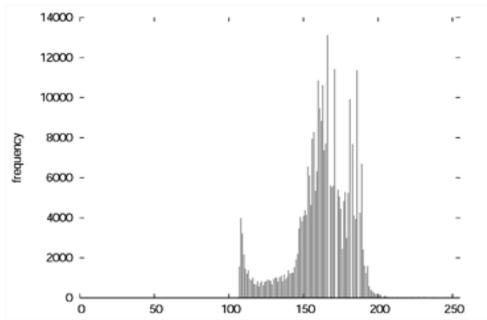


Figure from Olshausen & Field 2000; adapted from Laughlin 1981; Measured contrasts in natural scenes and showed that the membrane potential of fly visual neurons approximately transforms to uniform

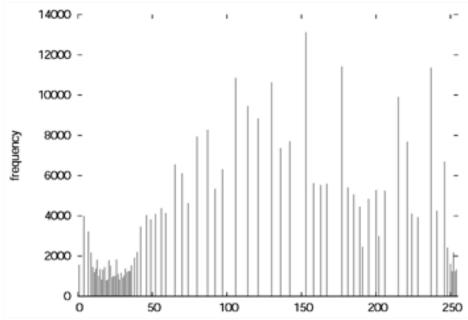
# Similar idea in image processing... Histogram equalization



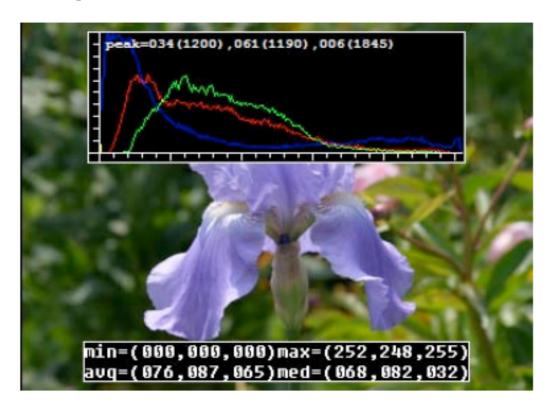


# Similar idea in image processing... Histogram equalization



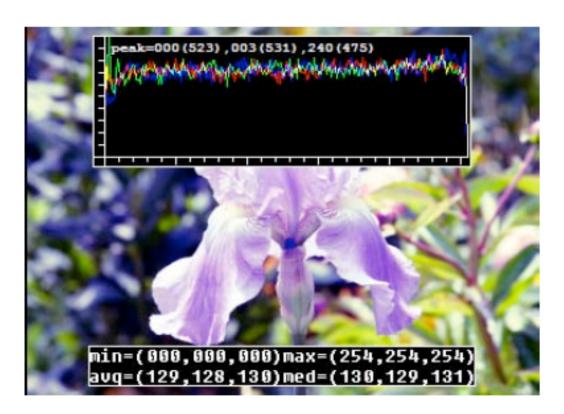


# Similar idea in image processing... Histogram equalization



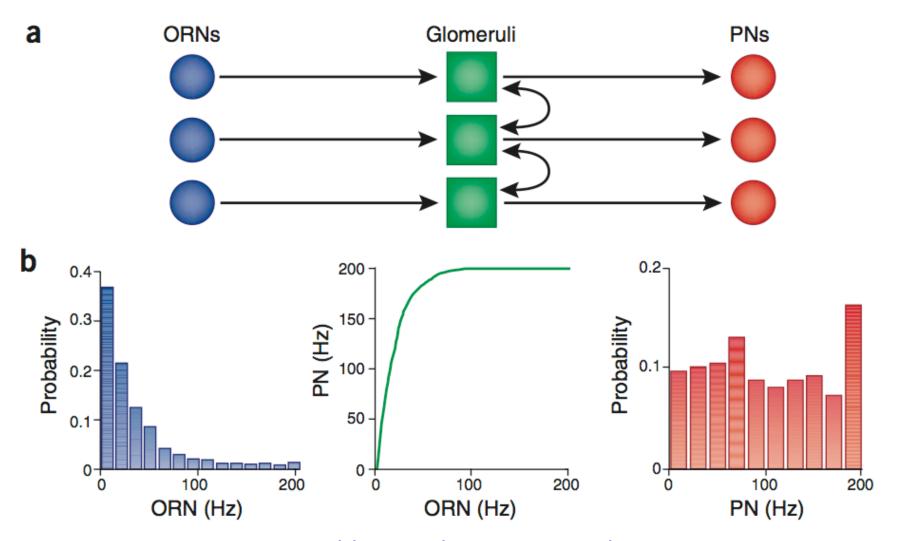
Richard Szeliski, Computer Vision Book 2010

# Similar idea in image processing... Histogram equalization



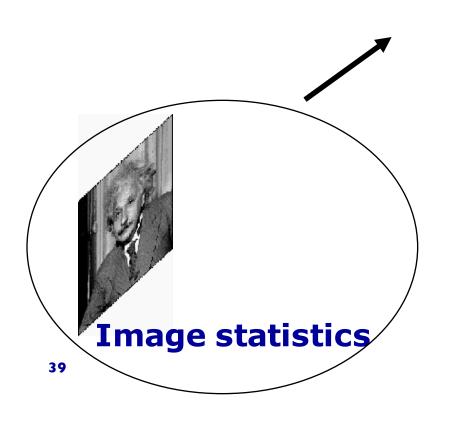
Richard Szeliski, Computer Vision Book 2010

#### Efficient coding: fly olfaction



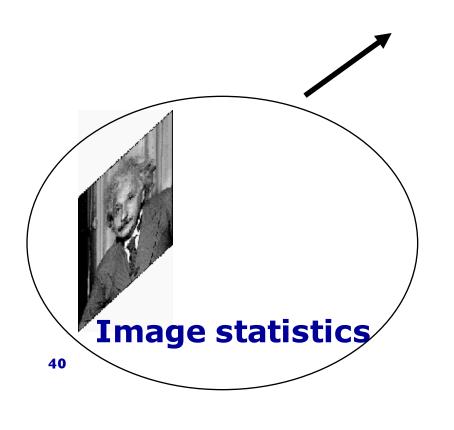
Abbott and Luo News and Views 2007; After Bhandawat et al. 2007

Choose and manipulate projections, to optimize probabilistic and information-theoretic metrics



Assuming a linear system and optimizing joint statistics: decorrelation

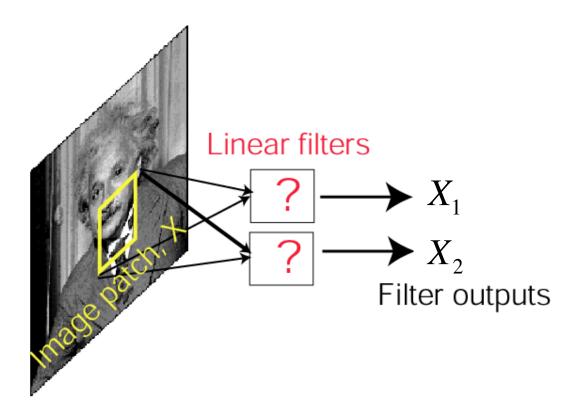
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#### decorrelation

$$E[y_i y_j] = 0; i \neq j$$

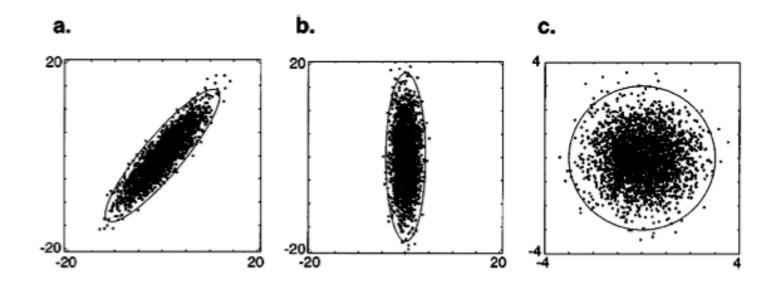
Does this guarantee independence?

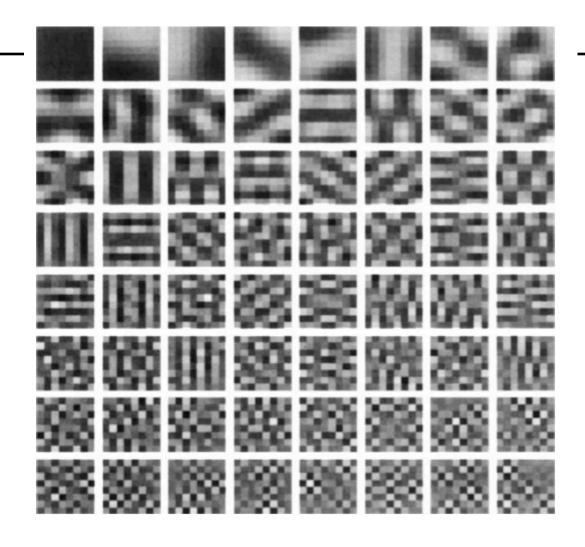


Find linear filters that decorrelate filter outputs to natural images

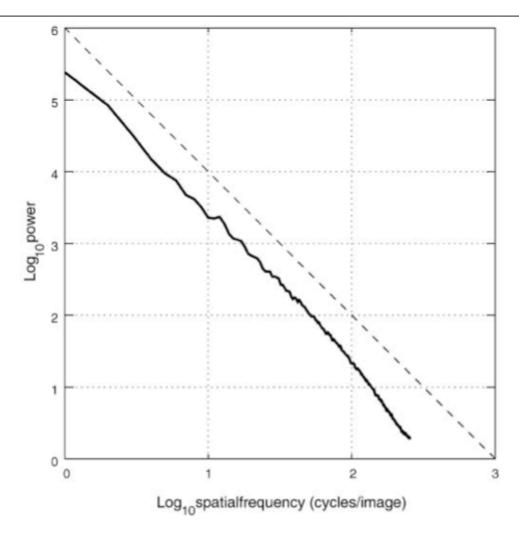
#### **Geometric view (PCA)**

#### Gaussian distribution

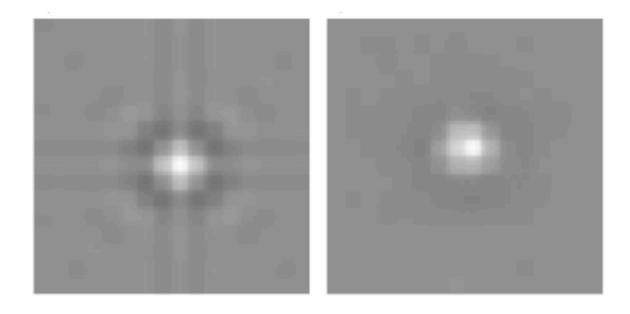




• Principal Component Analysis on image patches

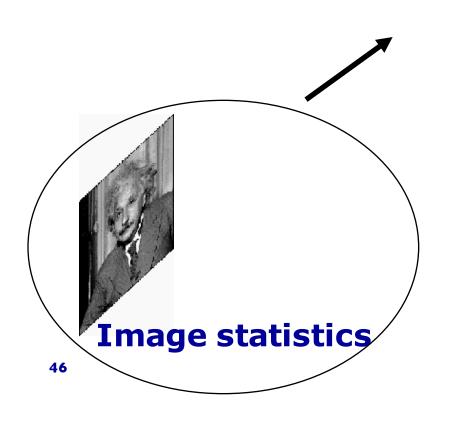


 Power spectrum of natural images (from Simoncelli & Olshausen review)

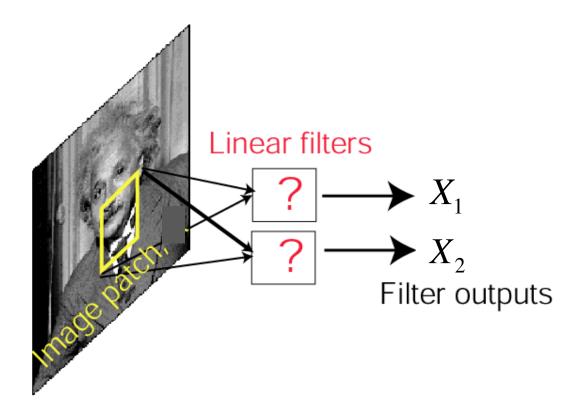


- PCA and imposing extra constraints such as Spatially localized filters (from Hyvarinen book; see Atick & Redlich 1992; Zhaoping 2006)
- Remember decorrelated does not mean independent

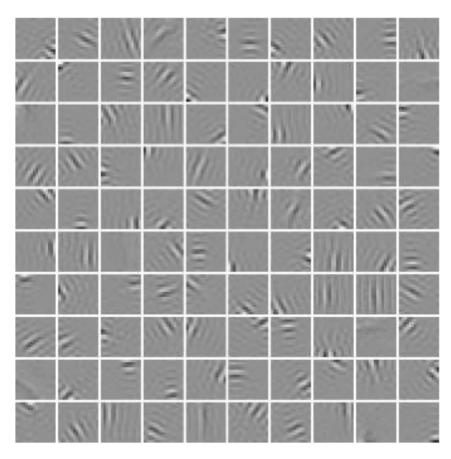
Choose and manipulate projections, to optimize probabilistic and information-theoretic metrics



Assuming a linear system and optimizing joint statistics: independence

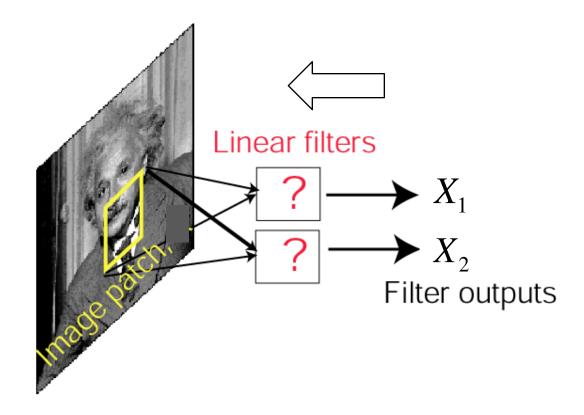


Find linear filters that maximize measure of statistical independence (or sparseness) between filter outputs to natural images (e.g., *Olshausen* & Field, 1996; *Bell* & Sejnowski 1997)

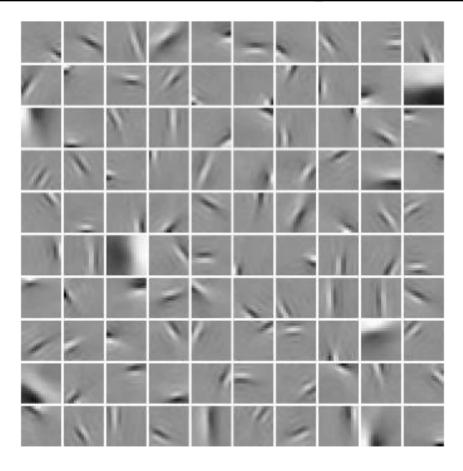


- ICA filters plotted from Hoyer images (e.g., Olshausen & Field, 1996; Bell & Sejnowski 1997— here at Redwood!)
- Qualitatively related to V1 RFs

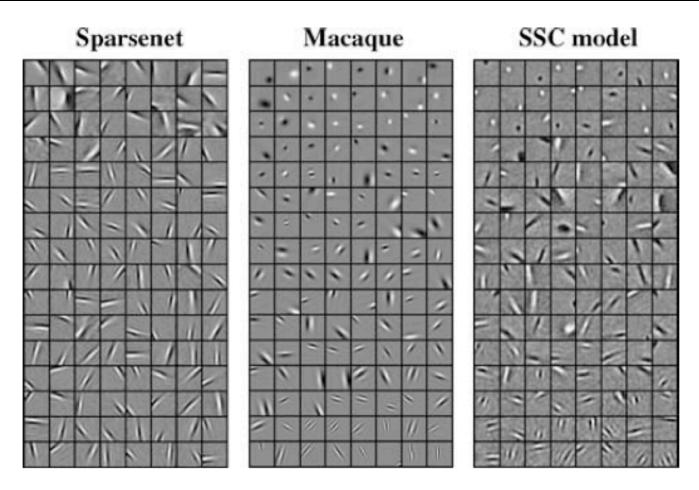
48



Linear transform, so from filter outputs can also go back to the image...



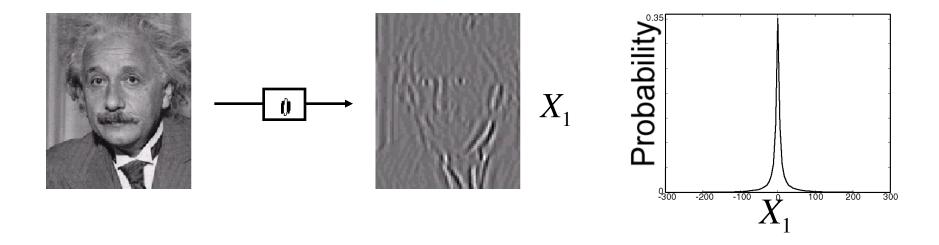
- ICA basis functions; from Hoyer
- Olshausen & Field, 1996; Bell & Sejnowski 1997



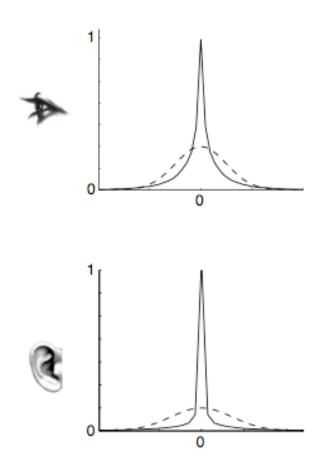
- Note also more recent work explaining neural diversity
- Rehn and Sommer, 2007 (data: Ringach)

What about sparse? (e.g., Olshausen & Field)

# **Bottom-up Statistics**

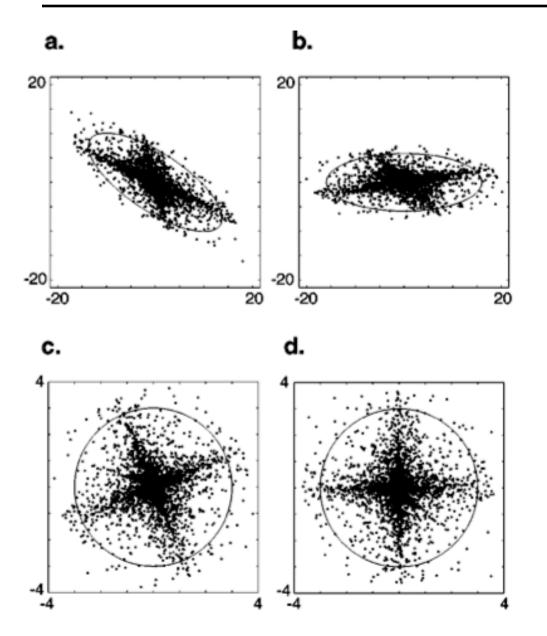


## **Bottom-up Statistics**



- Well described by, eg, generalized Gaussian distribution

#### Geometric view (ICA)



Non Gaussian (sparse) distribution

Simoncelli & Olshausen review, 2001

### ICA and sparse coding

- In ICA maximizing independence assuming a linear transform (e.g., by maximizing joint entropy of the output).
- But should also assume that the outputs have a sparse distribution...

## **Bottom-up Statistics**

For a linear system, maximizing sparseness and independence turns out similar (but not generally true!)

#### **Summary**

- Different levels of modeling...
- We've considered bottom-up scene statistics, efficient coding, and relation of linear transforms to visual filters
- Efficient coding through one channel and multiple channels
- Can we propagate statistical principles (such as efficient coding?) and how far?
- Next class: nonlinearities